



Business Continuity Plan

Summary Disclosure Statement

TSG Capital Advisors (a d/b/a of BrightChoice Financial LLC) (“TSGCA”) has developed a Business Continuity Plan (“BCP”) to ensure ongoing business operations in the event of a significant disruption or disaster. Given the unpredictable nature of such events, we maintain a flexible approach to respond effectively to actual incidents as they occur. This Business Continuity Plan Summary Disclosure Statement outlines TSGCA’s risk mitigation strategy for potential interruptions to daily business activities.

TSGCA’s BCP aims to respond to a Significant Business Disruption (SBD) by protecting its employees and assets, assessing its financial and operational capability, and rapidly instituting recovery measures to resume operations. These actions are designed to allow our customers to conduct business as soon as possible while safeguarding the firm’s books and records. The BCP is designed to comply with FINRA Rule 4370.

Our BCP anticipates two types of SBDs: internal and external. Internal SBDs affect only our firm’s ability to communicate and conduct business, such as a disastrous event occurring within our business premises. External SBDs prevent the operation of securities markets or multiple firms, such as a terrorist attack or a wide-scale, regional disruption. Our response to an external SBD relies more heavily on other organizations and systems, as well as entities with whom we have agreements. It’s important to note that our firm does not maintain custody of clients’ funds or securities.

In the event of an SBD, whether external or internal, TSGCA will implement an ordered dependency list and immediately begin communicating relevant information to our clients, employees, critical business constituents, critical banks, critical counterparties, and regulators. Our communication methods may include email, telephone, commercial courier services (e.g., FedEx, UPS), and the United States Postal Service (USPS).

All mission-critical systems are backed up. TSGCA defines mission-critical systems in its BCP. In the event of an SBD, these backups will be retrieved and restored as necessary.

While we strive to create a comprehensive BCP to address SBDs with a high degree of preparation, we acknowledge the unpredictable nature of disasters and the impossibility of anticipating every catastrophic scenario. We are confident that our measures will enable us to continue conducting business with minimal impact on our clients and business partners. However, we cannot entirely discount the possibility that a third party’s inability to cope with an SBD—a factor beyond our knowledge and control—may adversely affect our operations.